

Partially hyperbolic diffeomorphisms and foliations in 3-manifolds

Rafael Potrie

CMAT-Universidad de la Republica

20 years of Cuernavaca
rpotrie@cmat.edu.uy

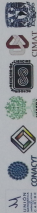
January 2017

2 al 14 de julio
2007

Instituto de Matemáticas
UNAM
Unidad Cuernavaca

VI ESCUELA DE MATEMÁTICAS DE AMÉRICA LATINA Y DEL CARIBE

EMALCA MÉXICO 2007



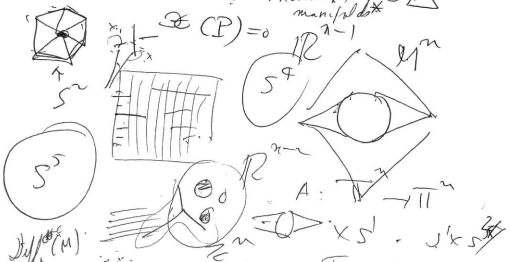
R. Potrie

~~$M \times S^1 \cong S^2 \times S^1$~~

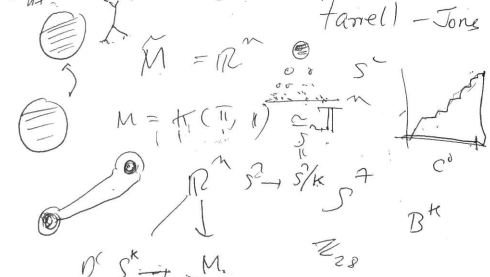


$\text{Hom}(M, M) \subset C^0(M, n)$
 $S^2/I_{\mathbb{R}^2}$ Palmeira

PL. homology manifolds $\partial \Delta^6$



Farrell - Jones



Definition (Partially hyperbolic diffeomorphism)

$f : M \rightarrow M$ is *partially hyperbolic* (PH) if $TM = E^s \oplus E^c \oplus E^u$ continuous Df -invariant splitting so that $\exists n > 0$ so that if $v^\sigma \in E^\sigma(x)$ are unit vectors, then

$$\|Df^n v^s\| < \min\{1, \|Df^n v^c\|\} \leq \max\{1, \|Df^n v^c\|\} < \|Df^n v^u\|$$

When $E^c = \{0\}$ one says f is *Anosov*. We will assume that all bundles are non-zero.

Definition (Partially hyperbolic diffeomorphism)

$f : M \rightarrow M$ is *partially hyperbolic* (PH) if $TM = E^s \oplus E^c \oplus E^u$ continuous Df -invariant splitting so that $\exists n > 0$ so that if $v^\sigma \in E^\sigma(x)$ are unit vectors, then

$$\|Df^n v^s\| < \min\{1, \|Df^n v^c\|\} \leq \max\{1, \|Df^n v^c\|\} < \|Df^n v^u\|$$

When $E^c = \{0\}$ one says f is *Anosov*. We will assume that all bundles are non-zero.

Relevant notion in: robust transitivity, stable ergodicity, smooth ergodic theory, homoclinic bifurcations, etc, etc....

Partially hyperbolic diffeomorphisms: Classification

Anosov systems are far from being classified: Diffeomorphisms in dimension ≥ 4 and flows in dimensions ≥ 3 seem to be resistant problems.

Is classifying PH systems hopeless??

Anosov systems are far from being classified: Diffeomorphisms in dimension ≥ 4 and flows in dimensions ≥ 3 seem to be resistant problems.

Is classifying PH systems hopeless??

Proposal (Pujals/Bonatti-Wilkinson)

Compare partially hyperbolic diffeomorphisms to Anosov systems.....

Anosov systems are far from being classified: Diffeomorphisms in dimension ≥ 4 and flows in dimensions ≥ 3 seem to be resistant problems.

Is classifying PH systems hopeless??

Proposal (Pujals/Bonatti-Wilkinson)

Compare partially hyperbolic diffeomorphisms to Anosov systems.....

..... and leave the classification of the later to other people.

Definition (Dynamical coherence)

$f : M \rightarrow M$ partially hyperbolic is **dynamically coherent (DC)** if $\exists \mathcal{F}^{cs}$ and \mathcal{F}^{cu} f -invariant foliations tangent to $E^s \oplus E^c$ and $E^c \oplus E^u$ respectively.
($\Rightarrow \exists \mathcal{F}^c$ tangent to E^c .)

Partially hyperbolic diffeomorphisms: foliations

Definition (Dynamical coherence)

$f : M \rightarrow M$ partially hyperbolic is **dynamically coherent (DC)** if $\exists \mathcal{F}^{cs}$ and \mathcal{F}^{cu} f -invariant foliations tangent to $E^s \oplus E^c$ and $E^c \oplus E^u$ respectively.
($\Rightarrow \exists \mathcal{F}^c$ tangent to E^c .)

Definition (Leaf conjugacy)

$f, g : M \rightarrow M$ partially hyperbolic and dynamically coherent are **leaf conjugate** if there exists $h : M \rightarrow M$ homeomorphism such that

$$\mathcal{F}_f^c(f \circ h(x)) = h(\mathcal{F}_g^c(g(x))).$$

Main goal, classify partially hyperbolic diffeomorphisms up to leaf conjugacy. (Includes deciding when they are DC)

Important technical tool: *branching foliations* introduced by Burago-Ivanov (we will ignore this and assume DC when needed).

Partially hyperbolic diffeomorphisms: dimension 3

From now on M will be a closed 3-manifold. Advances in understanding of topology and geometry of 3-manifolds give hope that it is more within reach.

Partially hyperbolic diffeomorphisms: dimension 3

From now on M will be a closed 3-manifold. Advances in understanding of topology and geometry of 3-manifolds give hope that it is more within reach. Due to cumulative work of Brin-Burago-Ivanov, Bonatti-Wilkinson, Parwani, Hertz-Hertz-Ures, Hammerlindl-P. one knows that (see e.g. recent surveys Carrasco-Hertz-Hertz-Ures, Hammerlindl-P.)

Theorem

Let M be a 3-manifold with (virtually) solvable fundamental group and assume $\nexists T$ torus tangent to $E^s \oplus E^c$ or $E^c \oplus E^u$. Then, f is *dynamically coherent* and (modulo finite lift and iterate), f is *leaf conjugate* to one of the following:

- linear Anosov with 3 different real eigenvalues on \mathbb{T}^3 ,
- skew-product over linear Anosov diffeomorphism on \mathbb{T}^2 (in this case M is \mathbb{T}^3 or nilmanifold),
- time one map of suspension of linear Anosov diffeomorphism on \mathbb{T}^2 .

'Big manifolds'

Recently, several new examples appeared:

Theorem (Bonatti-Gogolev-Hammerlindl-Parwani-P.)

There exists manifolds M with exponential growth of fundamental group so that they admit Anosov flows and partially hyperbolic diffeomorphisms not isotopic to identity.

'Big manifolds'

Recently, several new examples appeared:

Theorem (Bonatti-Gogolev-Hammerlindl-Parwani-P.)

There exists manifolds M with exponential growth of fundamental group so that they admit Anosov flows and partially hyperbolic diffeomorphisms not isotopic to identity.

In particular, the following presents a challenge to the classification program:

Theorem (Bonatti-Gogolev-Hammerlindl-P.)

*For any higher genus surface S there exists partially hyperbolic diffeomorphisms on T^1S so that: they are stably ergodic and robustly transitive but they are stably **NON dynamically coherent**.*

Non transitive non-dynamically coherent examples were already known (Hertz-Hertz-Ures).

The examples on the last theorem are not isotopic to identity. There is still hope that the following could admit a positive answer:

Question

*Let M be a 3-manifold with fundamental group with exponential growth admitting a (transitive) partially hyperbolic diffeomorphism: Does M admit a (topologically) **Anosov flow**? If f is isotopic to identity, must it be dynamically coherent and leaf conjugate to such (topologically) Anosov flow?*

'Big manifolds': Some results.

Theorem (Hammerlindl-P.-Shannon)

Let M be a Seifert 3-manifold and $f : M \rightarrow M$ a transitive partially hyperbolic diffeomorphism. Then M admits an Anosov flow.

Theorem (Ures)

*Let $M = T^1S$ with S a higher genus surface and $f : M \rightarrow M$ a PH diffeo isotopic **through PH diffeos** to the time one map of the geodesic flow for a metric of negative curvature in S . Then f is DC and leaf conjugate to the time one map of the geodesic flow in S .*

'Big manifolds': Some results.

Theorem (Barthelemé-Fenley-Frankel-P. (work in progress))

Let M be either a Seifert or Hyperbolic 3-manifold and $f : M \rightarrow M$ DC, PH diffeo isotopic to identity. Then (up to finite lift or iterate) f is leaf conjugate to a topologically Anosov flow.

Theorem (Barthelemé-Fenley-Frankel-P. (work in progress))

Let M be either a Seifert or Hyperbolic 3-manifold and $f : M \rightarrow M$ DC, PH diffeo isotopic to identity. Then (up to finite lift or iterate) f is leaf conjugate to a topologically Anosov flow.

- For Seifert manifolds there is no need to assume DC.
- For Hyperbolic manifolds there is no need to assume isotopic to identity (Mostow).
- We get very detailed (but more incomplete) information for general PH diffeos isotopic to identity on general 3-manifolds.

$f : M \rightarrow M$ DC, PH diffeo isotopic to identity.

$f : M \rightarrow M$ DC, PH diffeo isotopic to identity.

$\tilde{f} : \tilde{M} \rightarrow \tilde{M}$ lift to universal cover at bounded distance from identity. ($\tilde{\mathcal{F}}^{cs}$, $\tilde{\mathcal{F}}^{cu}$ lifted foliations.)

IDEA: If leaves of $\tilde{\mathcal{F}}^{cs}$ and $\tilde{\mathcal{F}}^{cu}$ separate then they have to be fixed by \tilde{f} .
($\Rightarrow f$ 'looks like' an Anosov flow...)

General results (Novikov+non-existence of compact leaves for \mathcal{F}^{cs} and \mathcal{F}^{cu}) imply that all leaves of $\tilde{\mathcal{F}}^{cs}$ and $\tilde{\mathcal{F}}^{cu}$ are planes.

General results (Novikov+non-existence of compact leaves for \mathcal{F}^{cs} and \mathcal{F}^{cu}) imply that all leaves of $\tilde{\mathcal{F}}^{cs}$ and $\tilde{\mathcal{F}}^{cu}$ are planes.

Proposition

*Either every leaf of $\tilde{\mathcal{F}}^{cs}$ is fixed by \tilde{f} or the foliation $\tilde{\mathcal{F}}^{cs}$ is **uniform** and \tilde{f} acts as a translation in the leaves.*

A foliation is *uniform* if all pair of leaves are at bounded Hausdorff distance from each other.

General results (Novikov+non-existence of compact leaves for \mathcal{F}^{cs} and \mathcal{F}^{cu}) imply that all leaves of $\tilde{\mathcal{F}}^{cs}$ and $\tilde{\mathcal{F}}^{cu}$ are planes.

Proposition

*Either every leaf of $\tilde{\mathcal{F}}^{cs}$ is fixed by \tilde{f} or the foliation $\tilde{\mathcal{F}}^{cs}$ is **uniform** and \tilde{f} acts as a translation in the leaves.*

A foliation is *uniform* if all pair of leaves are at bounded Hausdorff distance from each other.

A symmetric statement holds for $\tilde{\mathcal{F}}^{cu}$, but in principle NOT simultaneously.

(The proof uses either that the foliations are minimal in M or that M is hyperbolic or Seifert.)

Steps in the proof

- 1 Show that there is no 'mixed behaviour': if all leaves of $\tilde{\mathcal{F}}^{cs}$ are fixed by \tilde{f} , then, the same holds for $\tilde{\mathcal{F}}^{cu}$.

Steps in the proof

- 1 Show that there is no 'mixed behaviour': if all leaves of $\tilde{\mathcal{F}}^{cs}$ are fixed by \tilde{f} , then, the same holds for $\tilde{\mathcal{F}}^{cu}$.
- 2 Show that if leaves of both foliations $\tilde{\mathcal{F}}^{cs}$ and $\tilde{\mathcal{F}}^{cu}$ are fixed by \tilde{f} , then so are the connected components of their intersections (i.e. c -leaves).

Steps in the proof

- 1 Show that there is no 'mixed behaviour': if all leaves of $\tilde{\mathcal{F}}^{cs}$ are fixed by \tilde{f} , then, the same holds for $\tilde{\mathcal{F}}^{cu}$.
- 2 Show that if leaves of both foliations $\tilde{\mathcal{F}}^{cs}$ and $\tilde{\mathcal{F}}^{cu}$ are fixed by \tilde{f} , then so are the connected components of their intersections (i.e. c -leaves).
- 3 In some cases, show that 'double translation' behaviour is impossible. (This cases include Seifert and Hyperbolic manifolds.)

Steps in the proof

- 1 Show that there is no 'mixed behaviour': if all leaves of $\tilde{\mathcal{F}}^{cs}$ are fixed by \tilde{f} , then, the same holds for $\tilde{\mathcal{F}}^{cu}$.
- 2 Show that if leaves of both foliations $\tilde{\mathcal{F}}^{cs}$ and $\tilde{\mathcal{F}}^{cu}$ are fixed by \tilde{f} , then so are the connected components of their intersections (i.e. c -leaves).
- 3 In some cases, show that 'double translation' behaviour is impossible. (This cases include Seifert and Hyperbolic manifolds.)

The first two items (on Seifert and Hyperbolic manifolds) can be done without use of DC hypothesis (albeit more work). A posteriori, one can show that this implies that f is DC.

Steps in the proof

- 1 Show that there is no 'mixed behaviour': if all leaves of $\tilde{\mathcal{F}}^{cs}$ are fixed by \tilde{f} , then, the same holds for $\tilde{\mathcal{F}}^{cu}$.
- 2 Show that if leaves of both foliations $\tilde{\mathcal{F}}^{cs}$ and $\tilde{\mathcal{F}}^{cu}$ are fixed by \tilde{f} , then so are the connected components of their intersections (i.e. c -leaves).
- 3 In some cases, show that 'double translation' behaviour is impossible. (This cases include Seifert and Hyperbolic manifolds.)

The first two items (on Seifert and Hyperbolic manifolds) can be done without use of DC hypothesis (albeit more work). A posteriori, one can show that this implies that f is DC.

The third item can be done without need of DC hypothesis in Seifert manifolds, but in Hyperbolic manifolds DC seems crucial for the moment (maybe \exists examples??).

Blackboard.

Gracias!
.....y por 20 más!!!!